Quarterly BN Limit for QE 9/30/12 Updated 6/13

I. CALCULATION OF BUDGET NEUTRALITY LIMIT (Without Waiver Ceiling)

DY 1	PM/PM $294.21	Feb-Mar 04	QE 6/04	QE 9/04	QE 12/04	Jan-05	Total
	Member Months	21,282	31,630	31,156	30,631	10,270	124,969
	BN Limit, Total Funds	$ 6,261,377	$ 9,305,862	$ 9,166,407	$ 9,011,947	$ 3,021,537	$ 36,767,129
	FMAP	0.7591	0.7591	0.7285	0.7190	0.7190	
	BN Limit, Federal Funds	$ 4,753,011	$ 7,064,080	$ 6,677,727	$ 6,479,590	$ 2,172,485	$ 27,146,893

DY 2	PM/PM $316.87	Feb-Mar 05	QE 6/05	QE 9/05	QE 12/05	Jan-06	Total
	Member Months	20,668	30,576	29,812	29,027	9,608	119,691
	BN Limit, Total Funds	$ 6,549,069	$ 9,688,617	$ 9,446,528	$ 9,197,785	$ 3,044,487	$ 37,926,487
	FMAP	0.7190	0.7190	0.7190	0.7054	0.7054	
	BN Limit, Federal Funds	$ 4,708,781	$ 6,966,116	$ 6,792,054	$ 6,488,118	$ 2,147,581	$ 27,102,649

DY 3	PM/PM $341.27	Feb-Mar 06	QE 6/06	QE 9/06	QE 12/06	Jan-07	Total
	Member Months	19,329	28,021	27,215	25,870	8,399	108,834
	BN Limit, Total Funds	$ 6,596,408	$ 9,562,727	$ 9,287,663	$ 8,828,655	$ 2,866,327	$ 37,141,779
	FMAP	0.7054	0.7054	0.7054	0.6911	0.6911	
	BN Limit, Federal Funds	$ 4,653,106	$ 6,745,547	$ 6,551,518	$ 6,101,483	$ 1,980,918	$ 26,032,573

DY 4	PM/PM $367.54	Feb-Mar 07	QE 6/07	QE 9/07	QE 12/07	Jan-08	Total
	Member Months	16,677	24,337	23,338	22,809	7,511	94,672
	BN Limit, Total Funds	$ 6,129,465	$ 8,944,821	$ 8,577,649	$ 8,383,220	$ 2,760,593	$ 34,795,747
	FMAP	0.6911	0.6911	0.6911	0.6853	0.6853	
	BN Limit, Federal Funds	$ 4,236,073	$ 6,181,766	$ 5,928,013	$ 5,745,021	$ 1,891,834	$ 23,982,707

DY 5	PM/PM $395.84	Feb-Mar 08	QE 6/08	QE 9/08	QE 12/08	Jan-09	Total
	Member Months	15,134	22,562	21,870	21,599	7,428	88,593
	BN Limit, Total Funds	$ 5,990,643	$ 8,930,942	$ 8,657,021	$ 8,549,748	$ 2,940,300	$ 35,068,653
	FMAP	0.6853	0.6808	0.6808	0.7629	0.7629	
	BN Limit, Federal Funds	$ 4,105,387	$ 6,080,185	$ 5,893,700	$ 6,522,603	$ 2,243,155	$ 24,845,030

DY 6	PM/PM $426.32	Feb-Mar 09	QE 6/09	QE 9/09	QE 12/09	Jan-10	Total
	Member Months	15,304	23,676	24,066	25,094	8,722	96,862
	BN Limit, Total Funds	$ 6,524,401	$ 10,093,552	$ 10,259,817	$ 10,698,074	$ 3,718,363	$ 41,294,208
	FMAP	0.7629	0.7714	0.7714	0.7799	0.7799	
	BN Limit, Federal Funds	$ 4,977,466	$ 7,786,166	$ 7,914,423	$ 8,343,428	$ 2,899,951	$ 31,921,434

DY 7	PM/PM $459.15	Feb-Mar 10	QE 6/10	QE 9/10	QE 12/10 459.15/453.18	Jan-11 453.18	Total
	Member Months	17,729	27,178	27,731	27,680	9,435	109,753
	BN Limit, Total Funds	$ 8,140,270	$ 12,478,779	$ 12,732,689	$ 12,709,272	$ 4,332,080	$ 50,393,090
	FMAP	0.7799	0.7799	0.7799	0.7799	0.7519	
	BN Limit, Federal Funds	$ 6,348,597	$ 9,732,200	$ 9,930,224	$ 9,911,961	$ 3,257,291	$ 39,180,273

DY 8	PM/PM 481.73	Feb-Mar 11	QE 6/11	QE 9/11	QE 12/11	Jan-12	Total
	Member Months	18,929	27,864	26,957	26,357	8,753	108,860
	BN Limit, Total Funds	$ 9,118,667	$ 13,422,925	$ 12,985,996	$ 12,696,958	$ 4,216,583	$ 52,441,128
	FMAP	0.7519	0.7325	0.6621	0.6621	0.6621	
	BN Limit, Federal Funds	$ 6,856,326	$ 9,832,292	$ 8,598,028	$ 8,406,656	$ 2,791,799	$ 36,485,101

DY 9	PM/PM $512.08	Feb-Mar 12	QE 6/12	QE 9/12	QE 12/12	Jan-13	Total
	Member Months	17,609	26,525	26,413	26,030	9,020	105,597
	BN Limit, Total Funds	$ 9,017,217	$ 13,582,922	$ 13,525,569	$ 13,329,442	$ 4,618,962	$ 54,074,112
	FMAP	0.6621	0.6604	0.6604	0.6604	0.6604	
	BN Limit, Federal Funds	$ 5,970,299	$ 8,970,162	$ 8,932,286	$ 8,802,764	$ 3,050,362	$ 35,725,873

DY 10	PM/PM $544.34	Feb-Mar 13	QE 6/13	QE 9/13	QE 12/13	Jan-14	Total
	Member Months	-	-	-	-	-	-
	BN Limit, Total Funds	$ -	$ -	$ -	$ -	$ -	$ -
	FMAP	0.6604	0.6627	0.6621	0.6627	0.6627	
	BN Limit, Federal Funds	$ -	$ -	$ -	$ -	$ -	$ -

Notes: 1. Total funds = State and Federal Funds, BN Limit, Federal Funds = Federal Budget Neutrality Limit.
2. Member Months changed very slightly in prior periods due to retroactive eligibility changes **and from the removal of Breast and Cervical recipients.**
3. 9/12 is the most recent month included for member months.
enrollment is subject to significant change from retroactive enrollments.
4. Claims included are those paid and reported on the CMS 64 reports through the **quarter ending 9/30/12.**
5. AARA enhanced FMAP used retroactive to October 1, 2008 through June 2011 for Able Bodied.
6. DY6 & DY7 PMPM changed from past reports (as of the QE 9/30/10 report) based on STC approval effective 12/1/10.
7. Prospective FMAPs are estimates.
8. AB FMAP used for limit.

Notes: 1. Member Months changed very slightly in prior periods due to retroactive eligibility changes and from the removal of Breast and Cervical recipients.
2. 12/12 is the most recent month included for member months.
Enrollment is subject to significant change from retroactive enrollments.
3. Claims included are those paid and reported on the CMS 64 reports through the **quarter ending 12/31/12.**
AB expenditures reported are net of drug rebates and of payments to IHS facilities. MHSP expenditures are net of drug rebates plus IHS expenditures.
* QE June of 2005 also had the retro CMS 64 runs for the Quarters ending Sept, June and March of 2004.
4. Effective 12/1/10 added the MHSP Waiver Schizophrenia population.

Total Federal Share (+AB IHS, + MHSP IHS)

	DY 1		DY 2		DY 3		DY 4		DY 5		DY 6		DY 7		DY 8		DY 9	
Quarter Ending	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only	Cumulative	Quarter Only
1 of FY 13	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ -	$ 24,652,052	$ -	$ 29,160,046	$ -	$ 31,751,264	$ -	$ 27,892,563	$ 124,527	$ 24,086,463	$ 7,907,185
4 of FY 12	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ -	$ 24,652,052	$ 19,966	$ 29,160,046	$ -	$ 31,751,264	$ 1,264	$ 27,768,037	$	$ 16,179,278	$ 6,648,089
3 of FY 12	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ -	$ 24,632,086	$ -	$ 29,160,046	$ 14,217	$ 31,750,000	$ 7,585	$ 27,535,942	$ 232,095	$ 9,531,189	$ 7,023,197
2 of FY 12	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ -	$ 24,632,086	$ -	$ 29,145,829	$ -	$ 31,742,415	$ 86,570	$ 26,726,840	$ 899,102	$ 2,507,992	$ 2,507,992
1 of FY 12	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ -	$ 24,632,086	$ -	$ 29,145,829	$ -	$ 31,655,845	$ 113,653	$ 22,872,504	$		
4 of FY 11	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,076	$ 45	$ 24,632,086	$ -	$ 29,145,829	$ 203	$ 31,542,192	$ 435,019	$ 16,276,264	$ 6,596,240		
3 of FY 11	$ 26,171,635	$ -	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,031	$ -	$ 24,632,086	$ -	$ 29,145,626	$ 1,865	$ 31,107,173	$ 586,983	$ 9,979,772	$ 6,296,493		
2 of FY 11	$ 26,171,635	$ 592,793	$ 26,985,065	$ -	$ 24,845,227	$ -	$ 24,143,031	$ -	$ 24,632,086	$ -	$ 29,143,761	$ 4,509	$ 30,520,190	$ 4,856,737	$ 3,251,325	$ 6,728,447		
1 of FY 11	$ 25,578,842	-$592,800.00	$ 26,985,065	$ 1,000	$ 24,845,227	$	$ 24,143,031	$ -	$ 24,632,086	$	$ 29,139,252	$ 59,464	$ 25,663,453	$ 7,962,903		$ 3,251,325		
4 of FY 10	$ 26,171,642	$ 22	$ 26,984,065	$ 279	$ 24,845,227	$ -	$ 24,143,031	$ 488	$ 24,631,705	$ 441	$ 29,079,788	$ 389,558	$ 17,700,550	$ 7,717,817				
3 of FY 10	$ 26,171,620	$ -	$ 26,983,786	$ -	$ 24,845,227	$ -	$ 24,142,543	$ 53	$ 24,631,264	$ 381 2,277	$ 28,690,230	$ 578,131	$ 9,982,733	$ 7,259,132				
2 of FY 10	$ 26,171,620	$ -	$ 26,983,786	$ -	$ 24,845,227	$ -	$ 24,142,490	$ (10)	$ 24,628,987	$ 16,644	$ 28,112,099	$ 4,761,112	$ 2,723,601	$ 2,723,601				
1 of FY 10	$ 26,171,620	$ -	$ 26,983,786	$ -	$ 24,845,227	$ -	$ 24,142,500	$ (269)	$ 24,612,343	$ 88,903	$ 23,350,987	$ 6,988,356	$ -	$ -				
4 of FY 09	$ 26,171,620	$ -	$ 26,983,786	$ -	$ 24,845,227	$ - (32)	$ 24,142,769	$ (10,445)	$ 24,523,440	$ 256,417	$ 16,362,631	$ 7,414,610	$ -	$ -				
3 of FY 09	$ 26,171,620	$ -	$ 26,983,786	$ 1,893	$ 24,845,259	$ 62	$ 24,153,214	$ 5,872	$ 24,267,023	$ 863,560	$ 8,948,021	$ 6,563,385	$ -	$ -				
2 of FY 09	$ 26,171,620	$ -	$ 26,981,893	$ -	$ 24,845,197	$ 812	$ 24,147,342	$ 21,305	$ 23,403,463	$ 4,448,705	$ 2,384,636	$ 2,384,636	$ -	$ -				
1 of FY 09	$ 26,171,620	$ -	$ 26,981,893	$ -	$ 24,844,385	$ 876	$ 24,126,037	$ 117,177	$ 18,954,758	$ 5,775,804	$ -	$ -	$ -	$ -				
4 of FY 08	$ 26,171,620	$ -	$ 26,981,893	$ -	$ 24,843,509	$ 18,835	$ 24,008,860	$ 249,135	$ 13,178,954	$	$ -	$ -	$ -	$ -				
3 of FY 08	$ 26,171,620	$ -	$ 26,981,893	$ 108	$ 24,824,674	$ 10,856	$ 23,759,725	$ 684,412	$ 7,169,679	$	$ -	$ -	$ -	$ -				
2 of FY 08	$ 26,171,620	$ -	$ 26,981,785	$ 210	$ 24,813,818	$ 5,253	$ 23,075,313	$ 4,189,535	$ 1,916,336	$ 6,009,275	$ -	$ -	$ -	$ -				
1 of FY 08	$ 26,171,620	$ -	$ 26,981,575	$ 193	$ 24,808,565	$ 50,426	$ 18,885,778	$ 5,250,128	$ -	$ 5,253,343 -	$ -	$ -	$ -	$ -				
4 of FY 07	$ 26,171,620	$ (1,494,911)	$ 26,981,382	$ (1,670,679)	$ 24,758,139	$	$ 13,635,650	$ 5,751,225	$ -	$ 1,916,336	$ -	$ -	$ -	$ -				
3 of FY 07	$ 27,666,531	$ (403)	$ 28,652,061	$ 3,653	$ 25,940,547	$ 705,932	$ 7,884,425	$ 6,221,032	$ -	$	$ -	$ -	$ -	$ -				
2 of FY 07	$ 27,666,934	$ (378)	$ 28,648,408	$ 18,637	$ 25,234,615	$ 4,245,072	$ 1,663,393	$ 1,663,393	$ -	$	$ -	$ -	$ -	$ -				
1 of FY 07	$ 27,667,312	$ (5)	$ 28,629,771	$ 69,236	$ 20,989,543	$ 5,977,934	$ -	$ -	$	$ - -	$ -	$ -	$ -	$ -				
4 of FY 06	$ 27,667,317	$ 7,671	$ 28,560,535	$ 311,459	$ 15,011,609	$ (1,182,408) 6,905,451	$ -	$ -	$ -	$ -	$	$ -	$ -	$ -				
3 of FY 06	$ 27,659,646	$ 883	$ 28,249,076	$ 1,169,223	$ 8,106,158	$ 6,649,801	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
2 of FY 06	$ 27,658,763	$ 1,086	$ 27,079,853	$ 5,092,848	$ 1,456,357	$ 1,456,357	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
1 of FY 06	$ 27,657,677	$ 86,731	$ 21,987,005	$ 6,345,246	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
4 of FY 05	$ 27,570,946	$ 375,029	$ 15,641,759	$ 7,366,538	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
3 of FY 05	$ 27,195,917	$ 16,353,404	$ 8,275,221	$ 6,619,892	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
2 of FY 05	$ 10,842,513	$ 4,797,365	$ 1,655,329	$ 1,655,329	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				
1 of FY 05	$ 6,045,148	$ 6,045,148	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -				

Quarterly Federal Funds Summary by DY QE 12/31/12 Updated 6/13

III. SUMMARY BY DEMONSTRATION YEAR AND CUMULATIVELY (Federal Funds)

	Federal Budget Neutrality Limit	Able Bodied Federal Waiver Costs on CMS-64	Schizophrenia Federal Waiver Costs on CMS-64	Bipolar Federal Waiver Costs on CMS-64	Total Federal Waiver Costs on CMS-64	Federal Annual Variance	Federal Cumulative Budget Neutrality Limit	Federal Cumulative Waiver Costs on CMS-64	Federal Cumulative Variance
DY 1	$ 27,146,893	$ 19,872,491	$ (7)	$ -	$ 19,872,484	$ 7,274,409	$ 27,146,893	$ 19,872,484	$ 7,274,409
DY 2	$ 27,102,649	$ 20,585,247	$ -	$ -	$ 20,585,247	$ 6,517,402	$ 54,249,543	$ 40,457,731	$ 13,791,812
DY 3	$ 26,032,573	$ 18,428,523	$ -	$ -	$ 18,428,523	$ 7,604,049	$ 80,282,115	$ 58,886,254	$ 21,395,861
DY 4	$ 23,982,707	$ 18,014,895	$ -	$ -	$ 18,014,895	$ 5,967,811	$ 104,264,822	$ 76,901,150	$ 27,363,672
DY 5	$ 24,845,030	$ 19,138,453	$ -	$ -	$ 19,138,453	$ 5,706,577	$ 129,109,852	$ 96,039,602	$ 33,070,250
DY 6	$ 31,921,434	$ 23,793,672	$ -	$ -	$ 23,793,672	$ 8,127,762	$ 161,031,286	$ 119,833,274	$ 41,198,012
DY 7	$ 39,180,273	$ 25,830,141	$ 58,768	$ -	$ 25,888,909	$ 13,291,364	$ 200,211,559	$ 145,722,183	$ 54,489,376
DY 8	$ 36,485,101	$ 22,792,736	$ 1,154,861	$ 618,834	$ 24,566,432	$ 11,918,669	$ 236,696,660	$ 170,288,615	$ 66,408,045
DY 9	$ 35,725,873	$ 16,445,819	$ 1,644,018	$ 3,434,562	$ 21,524,399	$ 14,201,474	$ 272,422,532	$ 191,813,014	$ 80,609,518
totals	$ 272,422,532	$ 184,901,977	$ 2,857,640	$ 4,053,397	$ 191,813,014	$ 80,609,518			

Notes:

1. Total funds = State and Federal Funds, BN Limit, Federal Funds = Federal Budget Neutrality Limit.
2. Member Months changed very slightly in prior periods due to retroactive eligibility changes and from the removal of Breast and Cervical recipients.
3. 9/12 is the most recent month included for member months.
enrollment is subject to significant change from retroactive enrollments.
4.Cummulative Waiver costs are through the Quarter ending 12/12.
5.For Able Bodied - net of drug rebates and payments to IHS facilities.
5.For MHSP populations - net of drug rebates, includes payments to IHS facilities.